

HIGHTOWER SECURITIES LLC - Hold NMS Stocks and Options Order Routing Public Report 3rd Quarter, 2023																		
Highness Securities does not receive payment for order flow																		
July 2023																		
S&P 500 Stocks																		
Summary																		
Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
40	100.00	16	39.00	0	0.00	2	5.00	0	0.00									
Venues																		
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Charles Securities (LSECOM)	16	39.00	16	42.31	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0
New York Stock Exchange(NYSE)	15	37.27	0	0.00	0	0.00	15	38.24	0	0.00	0	0.00	0	0	0	0	0	0
GS Execution Services (LSEETSM)	8	19.55	8	21.05	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0
VIRTU Americas, LLC(VIRT)	8	19.55	8	21.05	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0
Jane Street Capital, LLC(JSC)	6	15.01	6	15.79	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0
Class EDCX US Equities Exchange(EDCX)	1	1.82	0	0.00	0	0.00	1	5.88	0	0.00	0	0.00	0	0	0	0	0	0
Member Exchange(MEMX)	1	1.82	0	0.00	0	0.00	1	5.88	0	0.00	0	0.00	0	0	0	0	0	0
July 2023																		
Non-S&P 500 Stocks																		
Summary																		
Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
85	100.00	25	29.41	4	4.71	9	10.59	47	55.29									
Venues																		
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
NASDAQ Execution Services, LLC(NESD)	18	27.34	0	0.00	3	75.00	35	55.56	0	0.00	0	0.00	0	0	0	0	0	0
VIRTU Americas, LLC(VIRT)	10	21.58	6	24.00	0	0.00	0	0.00	24	51.06	0	0.00	0	0	0	0	0	0
Charles Securities (LSECOM)	28	20.14	10	40.00	1	25.00	0	0.00	17	38.17	0	0.00	0	0	0	0	0	0
New York Stock Exchange(NYSE)	13	10.79	0	0.00	0	0.00	13	23.81	0	0.00	0	0.00	0	0	0	0	0	0
Class EDCX US Equities Exchange(EDCX)	12	8.63	0	0.00	0	0.00	12	19.05	0	0.00	0	0.00	0	0	0	0	0	0
GS Execution Services (LSEETSM)	10	7.19	5	20.00	0	0.00	0	0.00	5	10.64	0	0.00	0	0	0	0	0	0
Jane Street Capital, LLC(JSC)	4	2.88	4	16.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0
Member Exchange(MEMX)	1	0.72	0	0.00	0	0.00	1	1.59	0	0.00	0	0.00	0	0	0	0	0	0
Two Sigma Securities, LLC(TOSS)	1	0.72	0	0.00	0	0.00	0	0.00	1	2.13	0	0.00	0	0	0	0	0	0
July 2023																		
Option Contracts																		
Summary																		
Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
0	0.00	0	0.00	0	0.00	0	0.00	0	0.00									
Venues																		
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
August 2023																		
S&P 500 Stocks																		
Summary																		
Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
31	100.00	20	64.52	0	0.00	4	12.90	0	0.00									
Venues																		
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Charles Securities (LSECOM)	14	29.00	14	48.28	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NESD)	14	29.00	0	0.00	0	0.00	14	66.67	0	0.00	0	0.00	0	0	0	0	0	0
VIRTU Americas, LLC(VIRT)	12	24.00	7	24.14	0	0.00	5	23.81	0	0.00	0	0.00	0	0	0	0	0	0
Jane Street Capital, LLC(JSC)	6	12.00	6	20.69	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0
Class EDCX US Equities Exchange(EDCX)	2	4.00	0	0.00	0	0.00	2	9.52	0	0.00	0	0.00	0	0	0	0	0	0
GS Execution Services (LSEETSM)	2	4.00	2	6.90	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0
August 2023																		
Non-S&P 500 Stocks																		
Summary																		
Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
55	100.00	33	60.00	1	1.82	2	3.64	19	34.55									
Venues																		
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC(VIRT)	23	39.82	6	18.18	1	100.00	0	0.00	16	84.32	0	0.00	0	0	0	0	0	0
Charles Securities (LSECOM)	16	29.53	14	42.42	0	0.00	0	0.00	2	10.53	0	0.00	0	0	0	0	0	0
New York Stock Exchange(NYSE)	11	16.18	0	0.00	0	0.00	11	73.33	0	0.00	0	0.00	0	0	0	0	0	0
GS Execution Services (LSEETSM)	8	11.76	7	21.21	0	0.00	0	0.00	1	3.76	0	0.00	0	0	0	0	0	0
Jane Street Capital, LLC(JSC)	6	8.92	6	18.18	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0

